

# Systems of polynomial equations defining hyperelliptic $d$ -osculating covers

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## Abstract

Let  $X$  denote a fixed smooth projective curve of genus 1, defined over an algebraically closed field  $\mathbb{K}$  of arbitrary characteristic  $p \neq 2$ . For any positive integer  $n$ , we will consider the moduli space  $H(X, n)$ , of degree- $n$  finite separable covers of  $X$  by a hyperelliptic curve, marked at a triplet of Weierstrass points. We parameterize  $H(X, n)$  by a suitable space of rational fractions, and apply it to studying the (finite) subset of degree- $n$  *hyperelliptic tangential covers* of  $X$ . We find a polynomial characterization for the corresponding rational fractions and deduce a square system of polynomial equations, whose solutions parameterize the latter covers. Furthermore, we also obtain non-square systems parameterizing *hyperelliptic  $d$ -osculating covers*, for any  $d > 1$ .

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## 1 Introduction

Let  $\mathbb{P}^1 := \mathbb{K} \cup \{\infty\}$  and  $X$  denote, the projective line and a fixed smooth projective curve of genus 1, both defined over an algebraically closed field  $\mathbb{K}$ , of arbitrary characteristic  $p \neq 2$ . We will also fix a degree-2 projection,  $\varphi_X : X \rightarrow \mathbb{P}^1$ , ramified over  $\{\infty, 0, 1, \lambda\}$ .

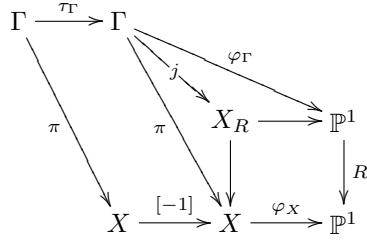
We choose  $\omega_o := \varphi_X^{-1}(\infty)$  as its origin, and let  $[-1] : X \rightarrow X$  denote the corresponding inverse homomorphism, fixing the four half-periods  $(\omega_o, \omega_1, \omega_2, \omega_3) := \varphi_X^{-1}((\infty, 0, 1, \lambda))$ . We will consider all finite separable triply marked morphisms  $\pi : (\Gamma, p, p', p'') \rightarrow X$ , called henceforth *hyperelliptic covers*, satisfying, either (1) and (2), or (3) below:

1.  $\Gamma$  is a hyperelliptic curve (c.f. **2.1.(1)**) and  $\pi(p) = \omega_o$ ;
2. the hyperelliptic involution  $\tau_\Gamma : \Gamma \rightarrow \Gamma$  fixes  $\{p, p', p''\}$ ;

3.  $\pi(p) = \omega_o$  and there exists a unique degree-2 projection  $\varphi_\Gamma : \Gamma \rightarrow \mathbb{P}^1$ , ramified at  $\{p, p', p''\}$  and such that  $\varphi_\Gamma((p, p', p'')) = (\infty, 0, 1)$ .

We start constructing a one-to-one correspondence between  $H(X, n)$ , the moduli space of degree- $n$  *hyperelliptic covers*, and a family of degree- $n$  rational fractions, as sketched hereafter.

Given any *hyperelliptic cover*  $\pi : (\Gamma, p, p', p'') \rightarrow X$ , we first prove the equality  $[-1] \circ \pi = \pi \circ \tau_\Gamma$ , implying that  $\pi$  can be pushed down to a marked projection  $R : (\mathbb{P}^1, \infty) \rightarrow (\mathbb{P}^1, \infty)$ , having odd ramification index at  $\{\infty, 0, 1\}$  and fitting in the following commutative diagram:



where  $X_R$  is the fiber product of  $R : \mathbb{P}^1 \rightarrow \mathbb{P}^1$  and  $\varphi_X : X \rightarrow \mathbb{P}^1$ , while  $j : \Gamma \rightarrow X_R$  is the desingularization of  $X_R$ .

Conversely, consider a rational fraction  $R := \frac{P}{Q}$  such that:

1.  $P$  and  $Q$  are coprime and  $\deg P - \deg Q > 0$  is odd;
2.  $R$  has odd ramification index at  $\{0, 1\}$  and  $R(0), R(1) \in \{\infty, 0, 1, \lambda\}$ ,

The latter property is equivalent to:

- (2') the product  $PQ(P-Q)(P-\lambda Q)$  has odd vanishing order at  $\{0, 1\}$ .

We let then  $X_R$  denote the fiber product of  $\varphi_X : X \rightarrow \mathbb{P}^1$  with  $R : \mathbb{P}^1 \rightarrow \mathbb{P}^1$ ,  $j : \Gamma \rightarrow X_R$  its desingularization and  $(p, p', p'') := \varphi_\Gamma^{-1}((\infty, 0, 1))$ . The curve  $\Gamma$  is naturally equipped with a marked projection  $\pi : (\Gamma, p, p', p'') \rightarrow X$  (fitting in a commutative diagram as above), such that  $\pi$  is a *hyperelliptic cover* **(3.1)**.

A similar diagram has already popped up in [7], for the genus-2 case, and the corresponding morphism  $R : \mathbb{P}^1 \rightarrow \mathbb{P}^1$  been called a *Frey-Kani covering* (see also [4],[5] & [6]). More recently, as kindly pointed to us by Victor Enolskii, A.B.Bogatyrev also considered a correspondence between a class of ramified covers of an elliptic curve, and suitable rational fractions ([2]). Our approach

was inspired instead, by earlier H.Langes's work, as explained in [1].

We next focus on a natural stratification, loosely defined hereafter.

Given any genus- $g$  *hyperelliptic cover*  $\pi : (\Gamma, p, p'p'') \rightarrow X$ , we have, on one hand the canonical Abel embedding of  $\Gamma$  into its *Jacobian*,  $A_p : \Gamma \rightarrow \text{Jac } \Gamma$ , and the flag  $\{0\} \subsetneq V_{\Gamma,p}^1 \cdots \subsetneq V_{\Gamma,p}^g = H^1(\Gamma, O_\Gamma)$ , of hyperosculating spaces to  $A_p(\Gamma)$  at  $A_p(p) \in \text{Jac } \Gamma$  (cf. [9]). On the other hand, we also have the homomorphism  $\iota_\pi : X \rightarrow \text{Jac } \Gamma$ , obtained by dualizing  $\pi$ :  $\forall \omega \in X$ ,  $\iota_\pi(\omega)$  is the class of the line bundle  $O_\Gamma(\pi^*(\omega - \omega_o))$ .

**Definition 1.1.**

*For any hyperelliptic cover  $\pi : (\Gamma, p, p'p'') \rightarrow X$ , there exists a smallest positive integer  $d$  such that the tangent line to  $\iota_\pi(X)$  is contained in the  $d$ -dimensional hyperosculating space  $V_{\Gamma,p}^d$ . We call it the osculating order of  $\pi$ , and  $\pi$  a hyperelliptic  $d$ -osculating cover (see [9]).*

The  $d = 1$  case, for example, occurs when the images of  $X$  and  $\Gamma$  are tangent at their common point. The latter, also called *hyperelliptic tangential covers*, have been extensively studied and make up a finite subset of  $H(X, n)$  (e.g.: [11], [8] and all the references in both articles). It should also be emphasized that the set of *hyperelliptic tangential covers*, of fixed genus  $g$  but arbitrary degree, makes up a dense subset of  $H_g$ , the moduli space of genus- $g$  smooth hyperelliptic curves (cf. [3]).

More numerical invariants are yet to be fixed in order to proceed. Besides the ramification index of the *hyperelliptic cover*  $\pi$  at  $p$ , say  $\rho$ , which must be odd, we will also look at the  $2g + 1$  other (so-called Weierstrass) points, fixed by the hyperelliptic involution  $\tau_\Gamma$ . Their distribution over each half-period of  $(X, \omega_o)$ , called *Weierstrass type* of  $\pi$ , is given by  $(m_i) \in \mathbb{N}^4$ , satisfying  $m_o + 1 \equiv m_1 \equiv m_2 \equiv m_3 \equiv n \pmod{2}$  and  $\sum_i m_i = 2g + 1$ . All these invariants are related as follows (cf. **2.3.** & **4.1.**, and [10] **4.3.**, **4.4.**):

$$\rho < 2d + 1 \leq 2g + 1 \leq 4n - \rho, \quad \sum_{i=0}^3 m_i^2 \leq 2(2d - 1)(n - 1) + 4 - \rho^2,$$

and if  $\mathbf{p} > 2$

$$2g + 1 \leq \mathbf{p}(2d - 1).$$

For given  $n, d, g, \rho \in \mathbb{N}^*$  and  $(m_i) \in \mathbb{N}^4$  as above, the latter make the submoduli space  $H_{(m_i)}^\rho Os^d(X, n) \subset H(X, n)$ . All together they give a stratification  $H(X, n) = \cup_{(m_i)} \cup_{d,\rho} H_{(m_i)}^\rho Os^d(X, n)$ .

Having identified  $H(X, n)$  in terms of suitable rational fractions (**3.2.**), we obtain a polynomial characterization of each strata  $H_{(m_i)}^\rho Os^d(X, n)$  (**4.6.**). We

immediately deduce a system of  $N$  polynomial equations in  $N + \frac{1}{2}(2d - 1 - \rho)$  variables ( $N := \frac{1}{2}(5n + 4 + m_1)$ ), whose solutions parameterize  $H_{(m_i)}^\rho Os^d(X, n)$ .

This fits with already known results, e.g.:  $H_{(m_i)}^1 Os^1(X, n)$  is finite ([11]) and, for any  $1 < d \leq g$ , all known irreducible components of  $H_{(m_i)}^1 Os^d(X, n)$  have dimension  $d - 1$  (cf. [9]).

At last, we test our approach on  $H_{(2,1,1,1)}^1 Os^1(X, 3)$ , known to be, either empty (if  $\mathbf{p} = 3$ ) or else, to contain a unique element (for a generic elliptic curve  $(X, \omega_o)$ ). Maple solving the latter system gives indeed a unique solution (if  $\mathbf{p} \neq 3$ ), which we actually construct in the Appendix. We also check, in the complex case, that it is none other than the spectral curve, found by B. Dubrovin & S. Novikov, and giving rise to the first examples of non-stationary KdV solutions, doubly-periodic in  $x$ .

## 2 Hyperelliptic covers - General properties

Let  $\mathbb{P}^1$  and  $X$  denote, respectively, the projective line and a fixed smooth projective curve of genus 1, both defined over  $\mathbb{K}$ . Choosing an arbitrary point  $\omega_o \in X$  as its origin, the pair  $(X, \omega_o)$  becomes an elliptic curve, having an inverse homomorphism  $[-1] : X \rightarrow X$  fixing  $\omega_o$ , as well as three other half-periods,  $\{\omega_1, \omega_2, \omega_3\} \subset X$ .

The quotient curve is isomorphic to  $\mathbb{P}^1$ , and  $\varphi_X : X \rightarrow \mathbb{P}^1$  will denote the corresponding degree-2 projection, sending the triplet  $(\omega_o, \omega_1, \omega_2)$  onto  $\{\infty, 0, 1\} \subset \mathbb{P}^1$ .

The remaining half-period projects onto  $\lambda := \varphi_X(\omega_3) \neq \infty, 0, 1$ .

The projection  $\varphi_X$  is classically represented, in affine coordinates, as follows.

The equation  $y^2 = x(x-1)(x-\lambda)$  defines a smooth affine plane cubic, which can be compactified inside  $\mathbb{P}^1 \times \mathbb{P}^1$ , by adding the unibranch singular point  $(\infty, \infty)$ . Up to desingularizing it at  $(\infty, \infty)$ , the resulting curve is isomorphic to  $(X, \omega_o)$ , with  $(\omega_o, \omega_1, \omega_2)$  and  $((\infty, \infty), (0, 0), (1, 0))$  identified, and  $\varphi_X$  represented as follows:

$$\{(x, y) \in \mathbb{K}^2, y^2 = x(x-1)(x-\lambda)\} \longrightarrow \mathbb{K}, \quad (x, y) \in \mathbb{K}^2 \mapsto x, \quad \omega_o \mapsto \infty.$$

### Definition 2.1.

1. We will call hyperelliptic any projective curve of genus  $g \geq 2$ , having an involution  $\tau_\Gamma : \Gamma \rightarrow \Gamma$ , such that the quotient curve  $\Gamma/\tau_\Gamma$  is isomorphic to  $\mathbb{P}^1$ . The corresponding degree-2 projection  $\varphi_\Gamma : \Gamma \rightarrow \Gamma/\tau_\Gamma \approx \mathbb{P}^1$  is ramified at the  $2g + 2$  fixed points of  $\tau_\Gamma$ . The latter make up the subset  $W_\Gamma \subset \Gamma$  of (so-called) Weierstrass points.
2. We obtain a (so-called Rosenheim) affine equation for  $\varphi_\Gamma$  as follows: choose a triplet of Weierstrass points  $(p, p', p'')$  and identify  $\Gamma/\tau_\Gamma$  with

$\mathbb{P}^1$ , by projecting  $(p, p', p'')$  onto  $(\infty, 0, 1)$ . The equation  $v^2 = t(t-1)\prod_j(t-\alpha_j)$ , where  $\{\alpha_j\}$  are the projections of the remaining  $2g-3$  Weierstrass points, defines an affine curve which can be compactified inside  $\mathbb{P}^1 \times \mathbb{P}^1$ , by adding the unibranch singular point  $(\infty, \infty)$ . Up to desingularizing it at  $(\infty, \infty)$ , the resulting curve is isomorphic to  $\Gamma$ , with  $(p, p', p'')$  and  $((\infty, \infty), (0, 0), (1, 0))$  identified, and  $\varphi_\Gamma$  represented as follows:

$$\{(t, v) \in \mathbb{K}^2, v^2 = t(t-1)\prod_j(t-\alpha_j)\} \longrightarrow \mathbb{K} \quad (t, v) \mapsto t, \quad p \mapsto \infty.$$

3. We will call hyperelliptic cover any finite separable triply marked morphism  $\pi : (\Gamma, p, p', p'') \rightarrow X$ , such that  $\Gamma$  is a hyperelliptic curve and  $p, p', p'' \in W_\Gamma$ . For any  $n \geq 1$ ,  $H(X, n)$  will denote the moduli space of degree- $n$  hyperelliptic covers over  $X$ .

**Proposition 2.2.**

Any hyperelliptic cover  $\pi : (\Gamma, p, p', p'') \rightarrow X$  satisfies  $[-1] \circ \pi = \pi \circ \tau_\Gamma$ , and can be pushed down to a unique separable morphism  $R : \infty \in \mathbb{P}^1 \rightarrow \infty \in \mathbb{P}^1$ , fitting in the following commutative diagram:

$$\begin{array}{ccccc} p \in \Gamma & \xrightarrow{\tau_\Gamma} & p \in \Gamma & \xrightarrow{\varphi_\Gamma} & \infty \in \mathbb{P}^1 \\ \pi \downarrow & & \pi \downarrow & & \downarrow R \\ \omega_o \in X & \xrightarrow{[-1]} & \omega_o \in X & \xrightarrow{\varphi_X} & \infty \in \mathbb{P}^1 \end{array}$$

**Proof.** Let us first mention that there is a unique isomorphism between  $\Gamma/\tau_\Gamma$  and  $\mathbb{P}^1$ , sending  $\varphi_\Gamma((p, p', p''))$  to  $(\infty, 0, 1)$ . Recall now that for all  $\omega \in X$ , its inverse with respect to the group structure of  $(X, \omega_o)$ , denoted  $[-1](\omega)$ , is the unique point such that the divisors  $[-1](\omega) - \omega_o$  and  $\omega_o - \omega$  are linearly equivalent. Recall also that for any  $r \in \Gamma$  the divisor  $r + \tau_\Gamma(r)$  is linearly equivalent to  $2p$ . Hence,  $\pi(r) + \pi(\tau_\Gamma(r))$  is linearly equivalent to  $2\omega_o$ , implying that for all  $r \in \Gamma$ ,  $\pi(\tau_\Gamma(r)) = [-1](\pi(r))$  as asserted. It follows from classical results that  $\pi$  can be pushed down to a canonical (separable) morphism between the quotients, defining the unique morphism  $R : \mathbb{P}^1 \rightarrow \mathbb{P}^1$ . We can also construct  $R(\alpha)$ , for any  $\alpha \in \Gamma/\tau_\Gamma$ , as (the unique point in)  $\varphi_X(\pi(\varphi_\Gamma^{-1}(\alpha)))$ . ■

**Corollary 2.3.**

Let  $\pi : (\Gamma, p, p', p'') \rightarrow X$  be a degree- $n$  hyperelliptic cover, of genus  $g$  and ramification index  $\rho$  at  $p$ . Let  $W_\Gamma$  denote its set of Weierstrass points, and for any  $i = 0, \dots, 3$ , let  $m_{\pi, i}$  denote the number of Weierstrass points, other than

$p$ , lying over the half-period  $\omega_i$ . Then:

1.  $\pi$  has odd ramification index at any  $w \in W_\Gamma$  (hence,  $\rho$  is odd);
2. the ramification divisor  $Ram_\pi$  is  $\tau_\Gamma$ -invariant and has degree  $2g-2$ ;
3.  $\pi(W_\Gamma) \subset \{\omega_i\}$  and  $m_{\pi,0} + 1 \equiv m_{\pi,1} \equiv m_{\pi,2} \equiv m_{\pi,3} \equiv n \pmod{2}$ ;
4. the genus and degree of  $\pi$  satisfy  $\rho < 2g + 1 = \sum_{i=0}^3 m_i \leq 4n - \rho$ .

**Proof.**

(1) Choose local coordinates  $\lambda$  and  $z$  at  $r \in \Gamma$  and  $\pi(r) \in X$  respectively, such that  $\tau_\Gamma^*(\lambda) = -\lambda$  and  $[-1]^*(z) = -z$ , and let  $s := ind_\pi(r)$ . Then, there exists a non-zero constant  $c$  such that  $\pi^*(z) = c\lambda^s(1 + O(\lambda))$ . Applying the latter involutions, which satisfy the equality  $\pi \circ \tau_\Gamma = [-1] \circ \pi$ , we obtain

$$c(-1)^s \lambda(1 + O(\lambda)) = \tau_\Gamma^* \circ \pi^*(z) = \pi^* \circ [-1]^*(z) = \pi^*(-z) = -c\lambda(1 + O(\lambda)).$$

Hence,  $(-1)^s = -1$  and  $s$  is odd.

2) Its  $\tau_\Gamma$ -invariance stems directly from the equality  $[-1] \circ \pi = \pi \circ \tau_\Gamma$ .

3) For any  $r \in W_\Gamma$ , the divisors  $2r$  and  $2p$  are linearly equivalent. Hence  $2\pi(r) - 2\omega_o$  is linearly equivalent to 0. Therefore  $\pi(r) \in \{\omega_i, i = 0, \dots, 3\}$  as asserted. Each fiber  $\pi^{-1}(\omega_i)$  being  $\tau_\Gamma$ -invariant, its subset of non-Weierstrass points is made of pairs of points. Thus  $n - m_{\pi,i} - \rho\delta_{i,0} \equiv n - m_{\pi,i} - \delta_{i,0} \equiv 0 \pmod{2}$ .

4) Recall first that  $W_\Gamma$  has cardinal  $\sharp W_\Gamma = 2g + 2$ ; hence  $\sum_i m_i = 2g + 1$ . On the other hand, we know that  $\rho - 1 \leq deg(Ram_\pi) = 2g - 2 = -3 + \sum_{i=0}^3 m_{\pi,i}$ , as well as  $m_{\pi,i} + \rho\delta_{i,0} \leq n$ , for any  $i = 0, \dots, 3$ . Hence  $\rho \leq 2g - 1 \leq 4n - \rho$ . ■

### 3 Polynomial approach to hyperelliptic covers

Given the elliptic curve  $(X, \omega_o)$  and the degree-2 cover  $\varphi_X : X \rightarrow \mathbb{P}^1$ , we have associated in **2.2.**, to any  $\pi \in H(X, n)$ , a particular rational fraction  $R = \frac{P}{Q}$ .

Conversely, we have the following result.

**Proposition 3.1.**

Given any degree- $n$  separable morphism  $R : \infty \in \mathbb{P}^1 \rightarrow \infty \in \mathbb{P}^1$ , with odd ramification indices at  $(\infty, 0, 1)$ , and such that  $R(0), R(1) \in \{\infty, 0, 1, \lambda\}$ , there exists a unique  $\pi \in H(X, n)$ , satisfying  $R \circ \varphi_\Gamma = \varphi_X \circ \pi$ .

**Sketch of proof.** Choosing a projection  $R : \infty \in \mathbb{P}^1 \rightarrow \infty \in \mathbb{P}^1$  as above, is equivalent to choosing a rational fraction  $R(t) = \frac{P(t)}{Q(t)}$ , such that  $\deg P - \deg Q$  is an odd positive integer, and the product  $PQ(P-Q)(P-\lambda Q)$  has odd vanishing multiplicity at  $\{0, 1\}$ . Replacing the variable  $x$  by the rational fraction  $R(t)$  in the equation  $y^2 = x(x-1)(x-\lambda)$ , multiplying it by  $Q(t)^4$  and making the birational change of variable  $w = yQ(t)^2$ , gives the affine equation of the fiber product of  $R : \mathbb{P}^1 \rightarrow \mathbb{P}^1$  with  $\varphi_X : X \rightarrow \mathbb{P}^1$ , i.e.:  $w^2 = P(t)Q(t)(P(t)-Q(t))(P(t)-\lambda Q(t))$ . The corresponding completion in  $\mathbb{P}^1 \times \mathbb{P}^1$ , say  $\Gamma$ , comes with a degree-2 cover  $\varphi_\Gamma : (t, w) \in \Gamma \mapsto t \in \mathbb{P}^1$ , ramified at the triplet  $(p, p', p'') = ((\infty, \infty), (0, 0), (1, 0))$ , as well as the projection  $\pi : (t, w) \in \Gamma \mapsto (x, y) = (R(t), \frac{w}{Q(t)^2}) \in X$ . The corresponding involution  $\tau_\Gamma : (t, w) \mapsto (t, -w)$ , fixes the triplet  $(p, p', p'')$  of unibranch points, and  $\varphi_\Gamma((p, p', p'')) = (\infty, 0, 1)$ . Hence, up to desingularizing  $\Gamma$ , we obtain the unique hyperelliptic cover,  $\pi : (\Gamma, p, p', p'') \rightarrow X$ , fitting in a commutative diagram as above, and such that  $\varphi_\Gamma((p, p', p'')) = (\infty, 0, 1)$ . ■

### Corollary 3.2.

The moduli space  $H(X, n)$  is canonically identified with the variety made of all pairs of polynomials  $(P, Q)$ , satisfying the following conditions:

1.  $P$  and  $Q$  are coprime and  $Q$  is monic;
2.  $\deg P = n$  and  $\deg P - \deg Q$  is an odd positive integer;
3. the product  $PQ(P-Q)(P-\lambda Q)$  has odd vanishing order at  $\{0, 1\}$ .

### Remark 3.3.

1. Given such a pair  $(P, Q)$ , the product  $P(t)Q(t)(P(t)-Q(t))(P(t)-\lambda Q(t))$  can be uniquely factored as  $t(t-1)A(t)B(t)^2$ , where  $B(t)$  is monic,  $A$  has odd degree and  $t(t-1)A(t)$  has no multiple root. It follows that the affine curve  $\{(t, v) \in \mathbb{K}^2, v^2 = t(t-1)A(t)\}$ , completed as explained in **2.1.(2)**, and equipped with the projection  $(t, v) \mapsto (x, y) := (\frac{P(t)}{Q(t)}, \frac{vB(t)}{Q(t)^2})$ , gives the smooth hyperelliptic cover of  $X$ , uniquely associated to  $(P, Q)$ .
2. According to **2.3.**,  $\pi$  has odd ramification index at the  $2g+2$  Weierstrass points, while  $\text{Ram}_\pi$  has degree  $2g-2$ . Hence, there must be at least  $g+3$  ones with  $\text{ind}_\pi = 1$ . In particular, we may choose the above triplet  $(p, p', p'') \in W_\Gamma^3$  without ramification, or equivalently, restrict to pairs of

polynomials  $(P, Q)$  as above, such that  $\deg Q = n - 1$  and 0 and 1 are simple roots of  $P(t)Q(t)(P(t) - Q(t))(P(t) - \lambda Q(t))$ .

Working locally with the corresponding equations one can deduce the ramification divisor  $Ram_\pi$ , out of  $Ram_R$ , even when  $\pi$  and  $R$  have wild ramification. In particular, for any  $r \in \Gamma$ ,  $ind_\pi(r)$  and  $ind_R(\varphi_\Gamma)$  are related as follows.

**Lemma 3.4.**

Let  $\pi : (\Gamma, p, p', p'') \rightarrow X$  be the hyperelliptic cover associated to the projection  $R : \infty \in \mathbb{P}^1 \rightarrow \infty \in \mathbb{P}^1$ , and  $\varphi_\Gamma : p \in \Gamma \rightarrow \infty \in \mathbb{P}^1$  the corresponding degree-2 projection. Then, for any  $\alpha \in \mathbb{P}^1$ :

1. if  $R(\alpha) \notin \{0, 1, \lambda, \infty\}$ , the fiber  $\varphi_\Gamma^{-1}(\alpha)$  has two points, say  $r \neq \tau_\Gamma(r) \in \Gamma$ , and  $ind_\pi(r) = ind_\pi(\tau_\Gamma(r)) = ind_R(\alpha)$ ;
2. if  $R(\alpha) \in \{0, 1, \lambda, \infty\}$  and  $ind_R(\alpha)$  is even, the fiber  $\varphi_\Gamma^{-1}(\alpha)$  has two points, say  $r \neq \tau_\Gamma(r) \in \Gamma$ , and  $ind_\pi(r) = ind_\pi(\tau_\Gamma(r)) = \frac{1}{2}ind_R(\alpha)$ ;
3. if  $R(\alpha) \in \{0, 1, \lambda, \infty\}$  and  $ind_R(\alpha)$  is odd, there is a unique (Weierstrass) point in  $\varphi_\Gamma^{-1}(\alpha)$ , say  $r = \tau_\Gamma(r) \in W_\Gamma$ , and  $ind_\pi(r) = ind_R(\alpha)$ .

**Definition 3.5.**

Given  $\pi : (\Gamma, p, p', p'') \rightarrow X \in H(X, n)$  and  $i = 0, \dots, 3$ , we let  $m_{\pi, i}$  denote the number of Weierstrass points, other than  $p$ , projecting onto  $\omega_i$ . We will call  $(m_{\pi, i})$  the Weierstrass type of  $\pi$ .

We gather hereafter basic relations and (in-)equalities between all data associated to  $\pi$  (cf. **2.2.** & **2.3.**, and [10] **4.3.**, **4.4.**).

**Proposition 3.6.**

Let  $\pi : (\Gamma, p, p', p'') \rightarrow X$  be a degree- $n$  hyperelliptic cover of genus  $g$ , with Weierstrass type equal to  $(m_{\pi, i})$ , ramification index  $\rho$  at  $p$  and  $\pi(p) = \omega_o$ .

Let  $R = \frac{P}{Q}$  denote its associated rational fraction (**3.1.**) and consider the factorizations

$$P = cA_1B_1^2, \quad Q = A_oB_o^2, \quad P - Q = cA_2B_2^2, \quad P - \lambda Q = cA_3B_3^2,$$

where  $c \in \mathbb{K}^*$ , and  $\forall i = 0, \dots, 3$ ,  $A_i$  and  $B_i$  are monic, and  $A_i$  has simple roots. Then,



1.  $\deg P = n$  and  $\rho = \deg P - \deg Q$ ;
2.  $\Gamma \setminus \{p\}$  is isomorphic to the affine curve  $\{(t, v) \in \mathbb{K}^2, v^2 = c^3 \prod_i A_i(t)\}$ ;
3. outside  $\{\pi^{-1}(\omega_o)\}$ , the projection  $\pi : \Gamma \rightarrow X$  is isomorphic to
 
$$(t, v) \mapsto (x, y) := \left( \frac{P(t)}{Q(t)}, v \frac{\prod_i B_i(t)}{Q(t)^2} \right);$$
4.  $(m_{\pi, i}) = (\deg A_i)$ , hence  $m_{\pi, i} + \delta_{o, i} \equiv n \pmod{2}$  for any  $i = 0, \dots, 3$ ;
5.  $2g + 1 = \sum_i \deg A_i = \sum_i m_i$  and  $\sum_{i=0}^3 m_i^2 \leq 2(2d-1)(n-1) + 4 - \rho^2$ .

## 4 Hyperelliptic covers and polynomial equations

We will consider hereafter a finer stratification of  $H(X, n)$ , obtained by fixing the ramification index  $\rho := \text{ind}_\pi(p)$ , the *osculating order*  $d$ , as well as the *Weierstrass type* instead of the genus  $g$ , and satisfying the appropriate relations (cf. **2.3.** & **4.1.**, and [10] **4.3.**, **4.4.**).

### Definition 4.1.

For any  $n, g, d, \rho \in \mathbb{N}^*$  and  $(m_i) \in \mathbb{N}^4$  such that

1.  $\rho$  is odd and  $\rho < 2d + 1 \leq 2g + 1 = \sum_{i=0}^3 m_i \leq 4n - \rho$ ,
2.  $m_0 + 1 \equiv m_1 \equiv m_2 \equiv m_3 \equiv n \pmod{2}$ ,
3.  $\sum_{i=0}^3 m_i^2 \leq 2(2d-1)(n-1) + 4 - \rho^2$ ,
4. and  $2g + 1 \leq \mathfrak{p}(2d-1)$  if  $\mathfrak{p} := \text{char}(\mathbb{K}) > 2$ ,

we let  $H_{(m_i)}^\rho \text{Os}^d(X, n) \subset H_g^\rho \text{Os}^d(X, n)$  denote the moduli subspace made of those degree- $n$ , hyperelliptic  $d$ -osculating covers, with Weierstrass type  $(m_i)$  such that  $\sum_i m_i = 2g + 1$  and ramification index  $\rho$  at the first marked point. Taking the union over all possible geni  $g$ , indices  $\rho$  and corresponding Weierstrass types, we obtain the following stratification:

$$\cup_{\rho, d} \cup_{(m_i)} H_{(m_i)}^\rho \text{Os}^d(X, n) = \cup_{\rho, d} \cup_g H_g^\rho \text{Os}^d(X, n) = H(X, n).$$

Any  $\pi \in H_{(m_i)}^\rho Os^d(X, n)$  will be equipped hereafter with its associated rational fraction  $R(t) := \frac{P(t)}{Q(t)}$ , such that  $\deg P - \deg Q = \rho$ , and canonical factorizations  $P = cA_1B_1^2$ ,  $Q = A_oB_o^2$ ,  $P - Q = cA_2B_2^2$ ,  $P - \lambda Q = cA_3B_3^2$  (cf. **3.6.**). In particular, the function  $v = \frac{yQ^2}{\prod_i B_i}$  has a pole of order  $2g + 1$  at  $p$ , and simple zeroes at any other Weierstrass point. We will also pick  $z := \frac{P}{yQ}$ , as local coordinate of  $X$  at its origin  $\omega_o$ .

Recall at last that  $\pi$  is a *hyperelliptic  $d$ -osculating cover* if, and only if, there exists a  *$d$ -osculating function* for  $\pi$ , i.e.: a  $\tau_\Gamma$ -anti-invariant projection  $\kappa : \Gamma \rightarrow \mathbb{P}^1$ , with poles only at  $\pi^{-1}(\omega_o)$ , such that  $\kappa + \frac{1}{z}$  is holomorphic at  $\pi^{-1}(\omega_o) \setminus \{p\}$  and has a pole of order  $2d - 1$  at  $p \in \Gamma$  ([9]).

The following Lemmas pave the way to a *polynomial  $d$ -osculating characterization*, and will be instrumental in parameterizing any strata  $H_{(m_i)}^\rho Os^d(X, n)$  by the solutions of a system of polynomial equations.

**Lemma 4.2.**

*The function  $\kappa_o := \frac{v}{A_oB_o} : \Gamma \rightarrow \mathbb{P}^1$  is anti- $\tau_\Gamma$ -invariant (i.e.:  $\kappa_o \circ \tau_\Gamma = -\kappa_o$ ), holomorphic outside  $\pi^{-1}(\omega_o)$  and has a pole of same order as  $\frac{1}{z} = \frac{yQ}{P}$ , at any point of  $\pi^{-1}(\omega_o) \setminus \{p\}$ .*

**Proof**

We just need to check that  $\kappa_o z$  is holomorphic at  $\pi^{-1}(\omega_o) \setminus \{p\}$ , which follows from the equalities,  $v = \frac{yQ^2}{\prod_i B_i}$  and  $\kappa_o z = \frac{v}{A_oB_o} \frac{P}{yQ} = \frac{P}{B_1B_2B_3}$ . ■

**Lemma 4.3.**

*Any anti- $\tau_\Gamma$ -invariant meromorphic function  $\kappa : \Gamma \rightarrow \mathbb{P}^1$ , holomorphic outside  $\pi^{-1}(\omega_o)$ , with a pole of same order as  $\frac{1}{z} = \frac{yQ}{P}$  at any point of  $\pi^{-1}(\omega_o) \setminus \{p\}$ , is equal to  $\frac{vM}{A_oB_o}$ , for a unique polynomial  $M(t)$ .*

**Proof**

The functions  $\kappa$  and  $\frac{v}{A_oB_o}$  have a pole of the same order as  $\frac{1}{z}$  at any point of  $\pi^{-1}(\omega_o) \setminus \{p\}$ . Thus, their quotient is holomorphic there. Outside  $\pi^{-1}(\omega_o)$  they are holomorphic and anti- $\tau_\Gamma$ -invariant, hence vanish at any Weierstrass point. On the other hand,  $v$  vanishes to order 1 at any Weierstrass point other than  $p$ . Hence, their quotient,  $\kappa \frac{A_oB_o}{v}$ , is  $\tau_\Gamma$ -invariant and can only have a pole at  $p$ . It follows that  $\kappa \frac{A_oB_o}{v}$  is a polynomial, say  $M(t)$ , as asserted. ■

**Lemma 4.4.**

Let  $\kappa : \Gamma \rightarrow \mathbb{P}^1$  be  $\tau_\Gamma$ -anti-invariant and holomorphic outside  $\pi^{-1}(\omega_o)$ . Then,  $\kappa + \frac{1}{z}$  has a pole of order  $2d-1$  at  $p \in \Gamma$ , and no other pole in  $\pi^{-1}(\omega_o)$ , if and only if there exists a polynomial  $T$ , such that  $A_1B_1$  divides  $TA_0B_0 + B_2B_3$ . In the latter case,  $\kappa + \frac{1}{z} = \frac{vT}{A_1B_1}$  and  $\deg T = \frac{1}{2}(n + \deg A_1 + 2d - 2g - 2)$ .

**Proof**

In case  $\kappa + \frac{1}{z}$  is holomorphic at  $\pi^{-1}(\omega_o) \setminus \{p\}$ , the meromorphic function  $\kappa$  satisfies the conditions of **Lemma 4.3.** In particular,  $\kappa = \frac{vM}{A_oB_o}$ , for some polynomial  $M$ , implying

$$\kappa + \frac{1}{z} = \frac{vM}{A_oB_o} + \frac{yQ}{P} = \frac{vM}{A_oB_o} + \frac{vB_2B_3}{A_oB_oA_1B_1} = \frac{v}{A_1B_1} \frac{(MA_1B_1 + B_2B_3)}{A_0B_0}.$$

Moreover,  $A_0B_0$  must divide  $(MA_1B_1 + B_2B_3)$ , in order for  $\kappa + \frac{1}{z}$  to be holomorphic at  $\pi^{-1}(\omega_o) \setminus \{p\}$ . Let  $T$  denote their quotient. It follows that  $MA_1B_1 = TA_0B_0 - B_2B_3$ , hence  $A_1B_1$  divides  $TA_0B_0 - B_2B_3$ , and  $\kappa + \frac{1}{z} = \frac{vT}{A_1B_1}$  as asserted.

Furthermore, since  $\kappa + \frac{1}{z}$  has a pole of order  $2d-1$ , the polynomial  $T$  has degree  $\frac{1}{2}(n + \deg A_1 + 2d - 2g - 2)$ .

Conversely, let  $T$  be such a polynomial and  $M$  satisfy  $MA_1B_1 = B_2B_3 + TA_0B_0$ . Then, the  $\tau_\Gamma$ -anti-invariant function  $\kappa := \frac{vM}{A_oB_o}$  has the required properties, i.e.:  $\kappa + \frac{1}{z} = \frac{vT}{A_1B_1}$  has a pole of order  $2d-1$  at  $p$ , and is holomorphic at any other point of  $\pi^{-1}(\omega_o)$ . In other words,  $\kappa$  is a  $d$ -osculating function for  $\pi$ . ■

**Theorem 4.5.** (*d-osculating polynomial criterion*)

A degree- $n$  hyperelliptic cover  $\pi$ , associated to  $c \in \mathbb{C}^*$  and a set  $\{A_i, B_i\}$  of polynomials as above, has osculating order  $d$ , i.e.:  $\pi \in H_{(m_i)}^\rho Os^d(X, n)$ , if and only if there exists a polynomial  $T$ , such that  $A_1B_1$  divides  $TA_0B_0 + B_2B_3$ . In the latter case,  $\kappa + \frac{1}{z} = \frac{vT}{A_1B_1}$  and  $\deg T = \frac{1}{2}(n + \deg A_1 + 2d - 2g - 2)$ .

**Proof**

According to **4.4.**  $\kappa$  is a  $d$ -osculating function for  $\pi \in H_{(m_i)}^\rho Os^d(X, n)$ , if and only if, there exists a polynomial  $T$  satisfying the above conditions. ■

**Theorem 4.6.**

For any  $n, d, g, \rho \in \mathbb{N}^*$  and  $(m_i) \in \mathbb{N}^4$  as in **4.1.**, there exists a polynomial system of  $N := \frac{1}{2}(5n + 4 + m_1)$  equations, in an open dense subset of  $\mathbb{K}^{N + \frac{1}{2}(2d-1-\rho)}$ , such that its set of solutions parameterizes the strata  $H_{(m_i)}^\rho Os^d(X, n)$ .

**Proof.** Consider  $c \in \mathbb{K}^*$ , two arbitrary sequences of monic polynomials,  $(A_i)$  and  $(B_i)$ , such that  $\deg A_i = m_i$  and  $\deg B_i^2 = n - m_i - \rho \delta_{i,o}$  for any  $i = 0, \dots, 3$ , and a polynomial  $T$  of degree  $\deg T = \frac{1}{2}(n + \deg A_1 + 2d - 2g - 2)$ . These data depend upon

$$1 + \sum_i \deg(A_i B_i) + \deg(T) + 1 = \frac{1}{2}(5n + 4 + \deg A_1 + 2d - 1 - \rho)$$

variables, and we ask them to satisfy the following set of  $2n + 2 + \deg(A_1 B_1) = \frac{1}{2}(5n + 4 + m_1)$  equations:

$$cA_1 B_1^2 - A_o B_o^2 = cA_2 B_2^2, \quad cA_1 B_1^2 - \lambda A_o B_o^2 = cA_3 B_3^2,$$

$$t(t-1) \text{ divides } \Pi_i A_i \text{ and } A_1 B_1 \text{ divides } TA_o B_o - B_2 B_3.$$

Let  $P := cA_1 B_1^2$ ,  $Q := A_o B_o^2$ , and assume further the open conditions

$$c \neq 0, \quad \text{disc}(\Pi_i A_i) \neq 0 \quad \text{and} \quad \text{resultant}(P, Q) \neq 0.$$

The degree- $n$  hyperelliptic cover  $\pi$ , associated to  $R := \frac{P}{Q}$  is isomorphic (outside  $\pi^{-1}(\omega_o)$ ), to

$$(t, v) \in \left\{ v^2 = c^3 \Pi_i A_i \right\} \quad \mapsto \quad \left( R(t), \frac{v \Pi_i B_i}{Q^2} \right) = (x, y) \in \left\{ y^2 = x(x-1)(x-\lambda) \right\}.$$

Let also  $M$  denote the polynomial satisfying  $MA_1 B_1 = TA_o B_o - B_2 B_3$ . Then,  $\kappa := \frac{vM}{A_o B_o}$  is a hyperelliptic  $d$ -osculating function for  $\pi$  ([9]). In other words,  $\pi \in H_{(m_i)}^\rho \text{Os}^d(X, n)$ . Conversely, let  $\pi \in H_{(m_i)}^\rho \text{Os}^d(X, n)$ , and consider its associated rational fraction  $R := \frac{P}{Q}$ , together with the canonical factorizations  $P = cA_1 B_1^2$ ,  $Q = A_o B_o^2$ ,  $P - Q = cA_2 B_2^2$  and  $P - \lambda Q = cA_3 B_3^2$ . Recall that for any  $i = 0, \dots, 3$ ,  $A_i$  has simple roots, and  $A_i$  and  $B_i$  are monic, of degrees  $m_i$  and  $\frac{1}{2}(n - m_i - \rho \delta_{i,o})$ , respectively. Needless to say that they satisfy the equations

$$cA_1 B_1^2 - A_o B_o^2 = cA_2 B_2^2, \quad cA_1 B_1^2 - \lambda A_o B_o^2 = cA_3 B_3^2$$

and

$$t(t-1) \text{ divides } \Pi_i A_i.$$

Moreover, there must exist a polynomial  $T$ , such that

$$A_1 B_1 \text{ divides } TA_o B_o - B_2 B_3 \quad \text{and} \quad \deg T = \frac{1}{2}(n + \deg A_1 + 2d - 2g - 2),$$

implying that  $\kappa := \frac{vT}{A_1 B_1} - \frac{yQ}{P}$  is a  $d$ -osculating function for  $\pi$ . In other words, any equivalence class  $\pi \in H_{(m_i)}^\rho \text{Os}^d(X, n)$  corresponds to a unique solution of the latter systems of equations (and open conditions). ■

## Appendix

Every complex elliptic curve  $(X, \omega_o)$  is isomorphic to a torus  $(\mathbb{C}/\Lambda, 0)$ , for some lattice  $\Lambda \subset \mathbb{C}$ . The corresponding Weierstrass  $\wp$  function is  $\Lambda$ -periodic and satisfies the differential equation  $\wp'^2 = 4\prod_{j=1}^3(\wp - e_j)$ , with  $\sum_{j=1}^3 e_j = 0$ . In particular,  $u(x) = 2\wp(x)$  is a stationary,  $\Lambda$ -periodic, KdV solution.

In 1974, B.Dubrovin & S.Novikov found the first examples of non-stationary,  $\Lambda$ -periodic, KdV solutions (cf. Dokl.Akad.Nauk. SSSR, **15**, 1597-1601), of the form  $u(x, t) = \sum_{i=1}^3 2\wp(x - \alpha_i(t))$ . The associated spectral curve can be presented as a degree-3 *hyperelliptic tangential cover* of genus 2, and must have Weierstrass type  $(2, 1, 1, 1)$ ; hence, its equivalence class must belong to  $H_{(2,1,1,1)}Os^1(X, 3)$ , on which we will test our approach.

More generally, we take the equation  $\{y^2 = 4\prod_{j=1}^3(x - e_j)\}$ , with  $\sum_j e_j = 0$ , defined over an algebraically closed field  $\mathbb{K}$  of characteristic  $\mathbf{p} \neq 2, 3$ , and let  $(X, \omega_o)$  denote the corresponding elliptic curve. We then consider  $\varphi_X : X \rightarrow \mathbb{P}^1$  and  $R : \mathbb{P}^1 \rightarrow \mathbb{P}^1$ , the degree-2 and degree-3 projections  $(x, y) \mapsto x$ , and  $t \mapsto R(t) := \frac{t^3 + g_3}{3t^2 - g_2}$ , where  $g_2 := -4(e_1e_2 + e_1e_3 + e_2e_3)$  and  $g_3 := 4e_1e_2e_3$ . Their fiber product gives rise to a degree-3 projection  $\pi : \Gamma \rightarrow X$ , on which we choose a triplet  $(p, p', p'')$  satisfying  $\varphi_\Gamma(p) = \infty \in \mathbb{P}^1$  and  $\pi^{-1}(\omega_o) = \{p, p', p''\}$ . Then:

### Proposition A.1.

$H_{(2,1,1,1)}Os^1(X, 3)$  is either empty, if  $g_2 = 0$ , or else, it contains as unique element, the above triply marked cover  $\pi : (\Gamma, p, p', p'') \rightarrow X$ . In case  $\mathbb{K} = \mathbb{C}$ , the latter is the spectral curve found by Dubrovin & Novikov..

### Proof.

The canonical factorizations

$$P = A_1B_1^2, \quad Q = A_0B_0^2, \quad P - Q = A_2B_2^2 \quad \text{and} \quad P - \lambda Q = A_3B_3^2$$

are given as follows:

$$3A_0 = 3t^2 - g_2, \quad B_0 = 1, \quad \text{and} \quad A_j = t + e_j, \quad B_j = t - 2e_j \quad \text{for any } j = 1, 2, 3.$$

In particular,  $\Gamma \setminus \{p\}$  is isomorphic to  $\{v^2 = (3t^2 - g_2)\prod_{j=1}^3(t + e_j)\}$ , hence isomorphic to Dubrovin & Novikov's one if  $\mathbb{K} = \mathbb{C}$ . Moreover,  $3A_0B_0 = 3t^2 - g_2$ , while  $A_1B_1 = t^2 - e_1t - 2e_1^2$  and  $B_2B_3 = t^2 + 2e_1t + e_2e_3$ . It easily follows that  $3A_0B_0 = -2A_1B_1 - B_2B_3$ . Hence  $\pi \in H_{(2,1,1,1)}Os^1(X, 3)$  as asserted, because the tangency criterion **4.3.** is satisfied. ■

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